



# Adjoint state-based earthquake location

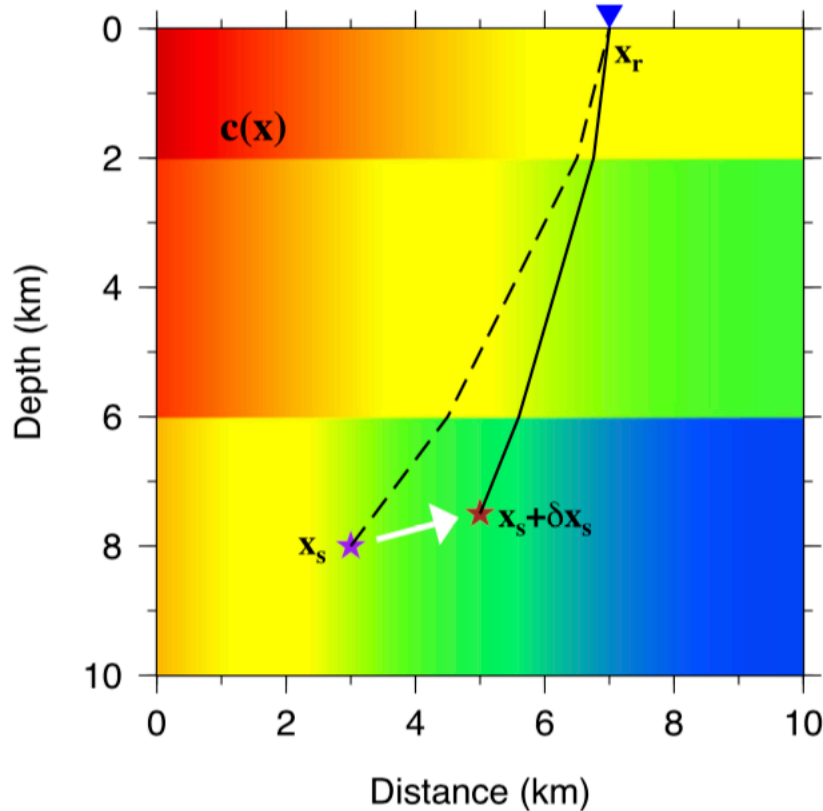
**Reporter: Yongsheng LIU<sup>1</sup>**

<sup>1</sup>Division of Mathematical Sciences, School of Physical and Mathematical Sciences, NTU

# Outline

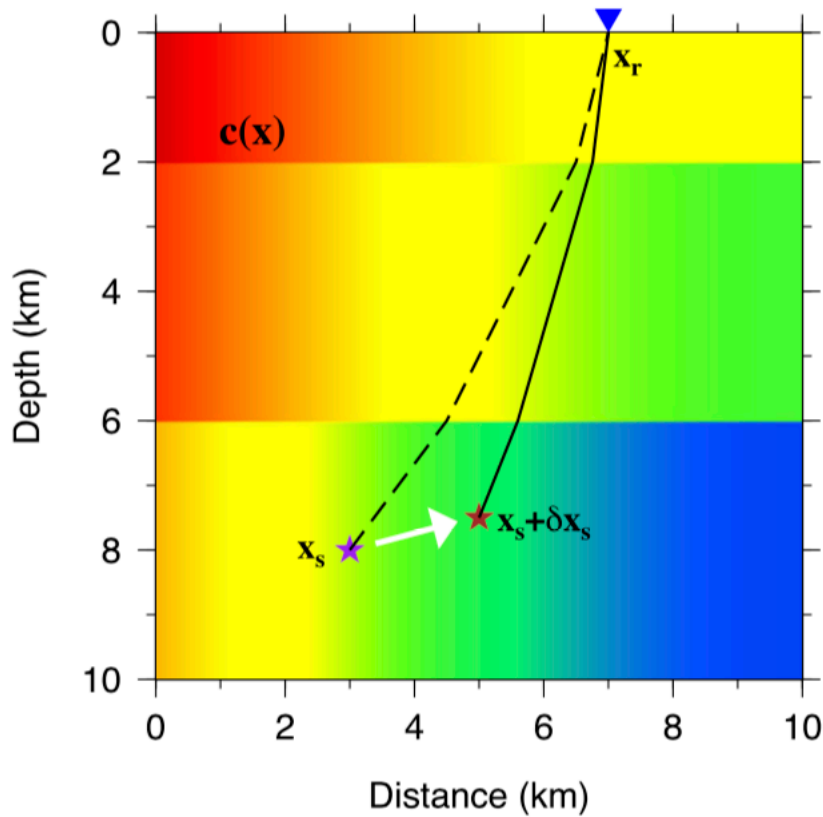
-  1 Ray-based earthquake location
-  2 Adjoint state-based earthquake location

# Ray-based earthquake location



$\delta x_s$  -----  $>$   $\delta t$       fixed  $c(x)$

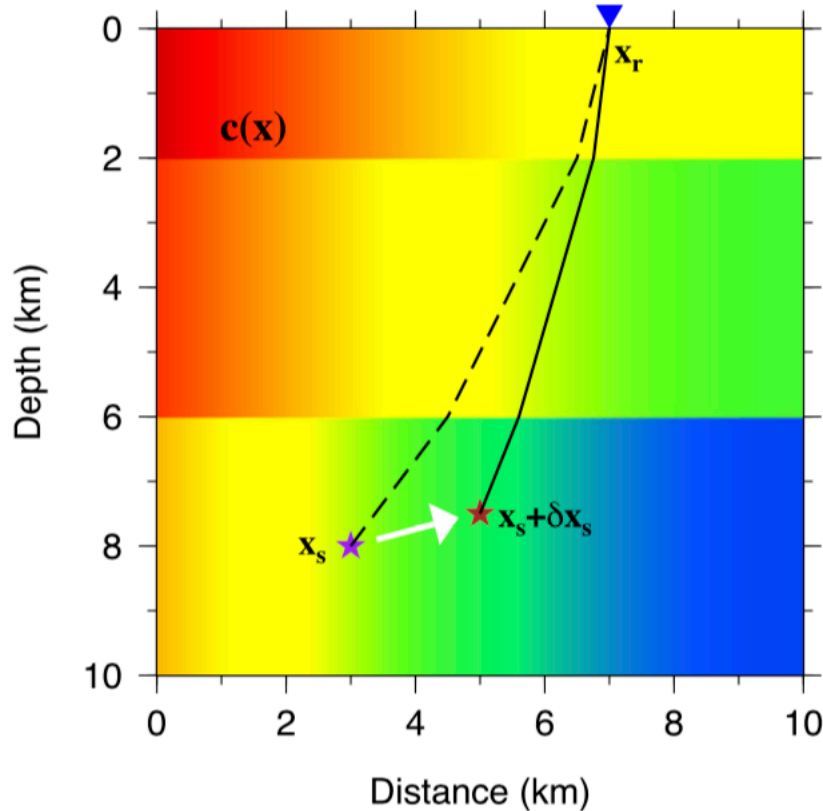
# Ray-based earthquake location



$$\delta t = \int K_m(\mathbf{x}) \delta \ln m(\mathbf{x}) \delta(\mathbf{x} - \mathbf{x}_s) dl$$

$$m(x) = (t, x_s)$$

# Ray-based earthquake location



$$K_{t_0}(x) = -1$$

$$K_{\theta_s}(x) = \frac{(R - h) \sin i \cos \alpha \theta_s}{V_{x_s}}$$

$$K_{\varphi_s}(x) = - \frac{(R - h) \sin i \sin \theta \sin \alpha \varphi_s}{V_{x_s}}$$

$$K_{z_s}(x) = - \frac{\cos i z_s}{V_{x_s}}$$

# Adjoint state-based earthquake location

## 1) *The relationship between $\delta t$ and $\delta u$*

*The cross-correlation between synthetic data and observed data is:*

$$C(\tau) = \int_{t_1}^{t_2} u(t - \tau) u_{obs}(t) dt = \int_{t_1}^{t_2} u(t - \tau) [u(t) + \delta u(t)] dt$$

Do Taylor expansion for  $u(t - \tau) = u(t) - \tau \frac{\partial u(t)}{\partial t} + \frac{1}{2} \tau^2 \frac{\partial^2 u(t)}{\partial t^2} + \theta(\tau^3)$

*We have*

$$C(\tau) = \int_{t_1}^{t_2} \left[ u(t) - \tau \frac{\partial u(t)}{\partial t} + \frac{1}{2} \tau^2 \frac{\partial^2 u(t)}{\partial t^2} \right] u(t) dt + \int_{t_1}^{t_2} \left[ u(t) - \tau \frac{\partial u(t)}{\partial t} + \frac{1}{2} \tau^2 \frac{\partial^2 u(t)}{\partial t^2} \right] \delta u(t) dt$$

# Adjoint state-based earthquake location

## 1) *The relationship between $\delta t$ and $\delta u$*

$$\partial_{\tau} C(\tau) = \int_{t_1}^{t_2} \left[ -\frac{\partial u(t)}{\partial t} + \tau \frac{\partial^2 u(t)}{\partial t^2} \right] u(t) dt + \int_{t_1}^{t_2} -\frac{\partial u(t)}{\partial t} \delta u(t) dt = 0$$

$$\int_{t_1}^{t_2} -\frac{\partial u(t)}{\partial t} u(t) dt = u^2(t_2) - u^2(t_1) = 0 = u(t_1) = u(t_2)$$

So, we get the the time shift due to the displacement perturbation:

$$\delta t = \tau = \frac{\int_{t_1}^{t_2} \dot{u}(t) \delta u(t) dt}{\int_{t_1}^{t_2} \ddot{u}(t) u(t) dt} = \frac{\langle \dot{u}(t), \delta u(t) \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t}$$

*The above mathematical derivation does not involve in **wave equation theory***

# Adjoint state-based earthquake location

## 2) *The relationship between $\delta u$ and $\delta f$*

$$\rho \frac{\partial^2 u}{\partial t^2} - \nabla \cdot [T + \delta T] = f$$

*The solution:*

$$u = G \otimes f$$

$$u + \delta u = (G + \delta G) \otimes (f + \delta f)$$

*We get the difference:*

$$\delta u = G \otimes \delta f + \delta G \otimes f \approx G \otimes \delta f$$



# Adjoint state-based earthquake location

## 3) *Calculate the corresponding kernel of source*

$$\delta\chi = \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \delta t_{sr}$$

*Using the following relationship*

$$\delta t = \frac{\langle \dot{u}(t), \delta u(t) \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t}$$

$$\delta u = G \otimes \delta f$$

So,

$$\begin{aligned} \delta\chi &= \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \frac{\langle \dot{u}(t), \delta u(t) \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t} \\ &= \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \frac{\langle \dot{u}(t), G \otimes \delta f \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t} \end{aligned}$$

# Adjoint state-based earthquake location

## 3) *Calculate the corresponding kernel of source*

$$\delta\chi = \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \frac{\langle \dot{u}(t), G \otimes \delta f \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t}$$

*Since we have:*

$$\langle h, G \otimes f \rangle_t = \langle G^* \otimes h, f \rangle_t$$

$$G^*(t) = G(-t)$$

*we further get:*

$$\delta\chi = \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \frac{\langle G^* \otimes u(t), \delta f \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t}$$

# Adjoint state-based earthquake location

## 3) *Calculate the corresponding kernel of source*

$$\delta\chi = \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \frac{\langle G^* \otimes \dot{u}(t), \delta f \rangle_t}{\langle \ddot{u}(t), u(t) \rangle_t}$$

*The adjoint source:*

$$f^+ = \sum_{r=1}^{N_r} [t_{sr} - t_{sr}^{obs}] \frac{\dot{u}(t)}{\langle \ddot{u}(t), u(t) \rangle_t}$$

*The adjoint wavefield:*

$$s^+ = G(-t) \otimes f^+$$

*So the variation of cost function:*

$$\delta\chi = \langle s^+, \delta f \rangle_t$$

# Adjoint state-based earthquake location

## 3) *Calculate the corresponding kernel of source*

$$f(x, y, t) = h(t) * \delta(x - x_s) * \delta(y - y_s)$$

$$h(t) = (-2\alpha^3 / \sqrt{\pi} (t - t_s) \exp(-\alpha^2 (t - t_s)^2))$$

*Taking the variation of  $f(x, y, t)$ , we get*

$$\begin{aligned} \delta f(x, y, t) = & -\dot{h}(t) * \delta(x - x_s) * \delta(y - y_s) * \delta t_s + \\ & h(t) * \partial_{x_s} [\delta(x - x_s) * \delta(y - y_s)] * \delta x_s + \\ & h(t) * \partial_{y_s} [\delta(y - y_s) * \delta(x - x_s)] * \delta y_s \end{aligned}$$

$$\delta \chi = \langle s^+, \delta f \rangle_t = \int_0^T \int_{\Omega} \delta f(x, y, t) s^+(x, y, T - t) dx dy dt$$

# Adjoint state-based earthquake location

## 3) *Calculate the corresponding kernel of source*

$$\begin{aligned}\delta f(x, y, t) = & -\dot{h}(t) * \delta(x - x_s) * \delta(y - y_s) * \delta t_s + \\ & h(t) * \partial_{x_s} [\delta(x - x_s) * \delta(y - y_s)] * \delta x_s + \\ & h(t) * \partial_{y_s} [\delta(y - y_s) * \delta(x - x_s)] * \delta y_s\end{aligned}$$

*Then, recalling the cost function*

$$\begin{aligned}\delta \chi &= \langle s^+, \delta f \rangle_t \\ &= \int_0^T \int_{\Omega} \delta f(x, y, t) s^+(x, y, T - t) dx dy dt \\ &= - \int_0^T \dot{h}(t) * s^+(x_s, y_s, T - t) dt * \delta t_s + \int_0^T h(t) * \partial_{x_s} s^+(x_s, y_s, T - t) dt * \delta x_s + \\ &\quad \int_0^T h(t) * \partial_{y_s} s^+(x_s, y_s, T - t) dt * \delta y_s\end{aligned}$$

## 3) *Calculate the corresponding kernel of source*

$$\begin{aligned}\delta\chi &= \langle s^+, \delta f \rangle_t \\ &= - \int_0^T \dot{h}(t) * s^+(x_s, y_s, T - t) dt * \delta t_s + \int_0^T h(t) * \partial_{x_s} s^+(x_s, y_s, T - t) dt \delta x_s + \\ &\quad \int_0^T h(t) * \partial_{y_s} s^+(x_s, y_s, T - t) dt \delta y_s \\ k_{t_s} &= - \int_0^T \dot{h}(t) * s^+(x_s, y_s, T - t) dt \\ k_{x_s} &= \int_0^T h(t) * \partial_{x_s} s^+(x_s, y_s, T - t) dt \\ k_{y_s} &= \int_0^T h(t) * \partial_{y_s} s^+(x_s, y_s, T - t) dt\end{aligned}$$

Thanks